# Iwasawa Theory and the Eisenstein Ideal

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# Iwasawa Theory for Cyclotomic Fields:

<u>Iwasawa algebras</u>:  $\Lambda = \mathbf{Z}_p[[\Gamma]] = \lim_{\leftarrow} \mathbf{Z}_p[\Gamma_n], \ \tilde{\Lambda} = \mathbf{Z}_p[[\tilde{\Gamma}]]$ Let  $\gamma \in \Gamma$  with  $\chi(\gamma) = 1 + p$ .  $\gamma - 1 \mapsto T$  defines  $\Lambda \xrightarrow{\sim} \mathbf{Z}_p[[T]]$ .

Iwasawa modules:

Any  $\tilde{\Lambda}$ -module A breaks up into "eigenspaces":

$$A = \bigoplus_{i=0}^{p-2} A^{(m)}$$

with

$$A^{(m)} = \langle a \in A \mid \delta a = \omega(\delta)^m a, \delta \in \Delta \rangle.$$

Also,  $A = A^+ \oplus A^-$  via complex conjugation.

The Iwasawa module  $X_K$ :

H = maximal pro-p abelian unramified extension of K,  $X_K =$  Gal(H/K)Action of  $\tilde{\Gamma}$  on  $X_K$  by conjugation gives  $X_K$ 

the structure of a  $\tilde{\Lambda}$ -module.

 $A_n = p$ -part of ideal class group of  $K_n$  $X_K \cong \lim_{\leftarrow} A_n$  (class field theory)

Let k be even with  $2 \le k \le p-3$ .  $B_k = k$ th Bernoulli number  $X_K^{(1-k)} \ne 0 \Leftrightarrow A_1^{(1-k)} \ne 0 \Leftrightarrow p \mid B_k$  (Herbrand, Ribet)

We say that (p,k) is an irregular pair if  $p \mid B_k$ .

 $X_K^{(k)} = 0 \Rightarrow X_K^{(1-k)}$  procyclic

Conjecture (Vandiver).  $X_K^+ = 0$ .

Vandiver's conjecture holds for p < 12,000,000 (Buhler, et al).

#### More on Iwasawa modules:

If A is a finitely generated torsion  $\Lambda$ -module, then there exists a pseudo-isomorphism (finite kernel and cokernel),

$$A \to \oplus_{i=1}^r \Lambda/(f_i),$$

with  $f_i \in \Lambda$ ,  $f_i \neq 0$  (Iwasawa, Serre). char<sub> $\Lambda$ </sub> $A = (\prod_{i=1}^{r} f_i)$  is an invariant of A.

More on  $X_K$ :  $X_K$  is a f.g. torsion  $\Lambda$ -module (Iwasawa).  $X_K^-$  has no p-torsion (Ferrero-Washington).  $L_p(s, \omega^k)$  p-adic L-function, interpolates special values of classical L-functions.

# Iwasawa Main Conjecture (Mazur-Wiles). Let $g_k \in \Lambda$ with

$$g_k((1+p)^s-1)=L_p(s,\omega^k)$$
  
for all  $s\in {f Z}_p.$  Then  $(g_k)={
m char}_\Lambda X_K^{(1-k)}.$ 

#### Iwasawa theory for Kummer extensions:

Let L be a  $\mathbb{Z}_p$ -extension of K unramified outside p, totally ramified at p, and Galois over  $\mathbb{Q}$ .  $G = \operatorname{Gal}(L/K), \ \sigma \in G$  generator  $I_G = (\sigma - 1) \leq \mathbb{Z}_p[[G]]$  augmentation ideal  $M = \max$ . unramified abelian pro-p ext. of L



 $X_L$  is not in general a  $\tilde{\Lambda}$ -module, but its quotients  $I_G^t X_L / I_G^{t+1} X_L$  are.

E.g., restriction induces  $X_L/I_G X_L \xrightarrow{\sim} X_K$ .

Let  $Y_L$  denote the Galois group of the maximal unramified abelian pro-p extension of L in which the prime above p splits completely.  $X_L \to Y_L$  is a surjection with procyclic kernel. Defining  $Y_K$  similarly, we have  $Y_K = X_K$ . We also have  $Y_L/I_GY_L \xrightarrow{\sim} X_K$ .

Multiplication defines a surjection

$$I_G/I_G^2 \otimes_{\mathbf{Z}_p} Y_L/I_G Y_L \to I_G Y_L/I_G^2 Y_L.$$

Since  $I_G/I_G^2 \cong \mathbb{Z}_p$ , there exists a canonical isomorphism of  $\tilde{\Lambda}$ -modules

$$I_G/I_G^2 \otimes_{\mathbf{Z}_p} X_K/\mathcal{P}_{L/K} \xrightarrow{\sim} I_G Y_L/I_G^2 Y_L$$

for some subgroup  $\mathcal{P}_{L/K} \leq X_K$ .  $\mathcal{P}_{L/K}$  can be described using cup products.

#### Cup products in Galois cohomology:

 $\mathcal{G}_n =$  Galois group of the maximal unramified outside p extension of  $K_n$  $\mathcal{E}_n = \mathbf{Z}_p[\mu_{p^n}, \frac{1}{p}]^{\times}$  group of p-units in  $K_n$  $A_n = p$ -part of the class group of  $K_n$ 

$$\mathcal{E}_n / \mathcal{E}_n^{p^n} \hookrightarrow H^1(\mathcal{G}_n, \mu_{p^n})$$
  
 $H^2(\mathcal{G}_n, \mu_{p^n}) \cong A_n / p^n A_n$ 

The cup products

$$H^1(\mathcal{G}_n,\mu_{p^n})^{\otimes 2} \to H^2(\mathcal{G}_n,\mu_{p^n}^{\otimes 2})$$

yield pairings

$$(,)_n : \mathcal{E}_n \times \mathcal{E}_n \to A_n \otimes \mu_{p^n}.$$

 $\mathcal{E}_K$  the pro-*p* completion of  $\cup_n \mathcal{E}_n$  $\mathcal{U}_K = \lim_{\leftarrow} (\mathcal{E}_n \otimes \mathbf{Z}_p)$  universal norms Inverse limit of the pairings yields

$$(,)_K : \mathcal{E}_K \times \mathcal{U}_K \to X_K(1).$$

**Conjecture 1 (McCallum-S.).** The image of  $(, )_K$  contains  $X_K^-(1)$ .

Assume L/K is defined by (the *p*-power roots of) an element  $a \in \mathcal{E}_K$ .

**Theorem 1.**  $\mathcal{P}_{L/K} = (a, \mathcal{U}_K)_K(-1)$ . That is,  $I_G/I_G^2 \otimes_{\mathbb{Z}_p} X_K/((a, \mathcal{U}_K)_K(-1)) \cong I_G Y_L/I_G^2 Y_L.$ 

The goal of this talk will be:

**Theorem 2.**  $(p, \mathcal{U}_K)_K = X_K(1)$  for p < 1000. **Corollary.** Conjecture 1 holds for p < 1000. **Corollary.**  $X_L \cong Y_L \cong X_K$  for  $L = K(p^{1/p^{\infty}})$ and p < 1000.

## Ordinary Hecke algebras:

 $T_n = wt.$  2 cuspidal Hecke algebra for  $\Gamma_1(p^n)$ We consider Hida's ordinary Hecke algebra

$$ilde{\mathbf{T}} = \lim_{\leftarrow} (\mathbf{T}_n \otimes \mathbf{Z}_p)^{\mathsf{ord}}$$

where "ord" signifies the maximal subfactor in which  $U_p$  is a unit.

diamond operators  $\langle i \rangle \in \tilde{\mathbf{T}}$  for  $i \in \mathbf{Z}_p^{\times}$   $\mathbf{T}$  eigenspace of  $\tilde{\mathbf{T}}$  upon which  $\langle i \rangle$  for  $i \in (\mathbf{Z}/p\mathbf{Z})^{\times}$ acts by multiplication by  $i^{k-2}$ .  $\mathbf{T}$  is a  $\Lambda_{\mathbf{T}} = \mathbf{Z}_p[[T]]$ -algebra,  $T = \langle 1 + p \rangle - 1$ . Eisenstein ideal  $\mathcal{I}$  of  $\mathbf{T}$ :

$$\mathcal{I} = (U_p - 1) + (T_l - 1 - l\langle l \rangle \mid l \neq p).$$

We have  $\mathbf{T}/\mathcal{I} \cong \mathbf{Z}_p[[T]]/h_k(T)$ , with

$$h_k((1+p)^{-1-s}-1) = L_p(s,\omega^k)$$

(Wiles).

## The representation space:

We begin by describing work of Ohta, which provides a generalization of results of Harder-Pink and Kurihara for level 1.

Let Y denote the eigenspace of

 $\lim_{\leftarrow} H^1_{\text{ét}}(X_1(p^n), \mathbf{Z}_p)^{\text{ord}}.$ 

upon which  $\langle i \rangle$  acts as  $i^{k-2}$  for  $i \in (\mathbf{Z}/p\mathbf{Z})^{\times}$ .

Fix a decomposition group  $D_p$  in  $G_{\mathbf{Q}}$  at p. Let  $I_p$  be the inertia subgroup of  $D_p$ . Fix  $\Delta_p \leq I_p$  with  $\omega \colon \Delta_p \xrightarrow{\sim} (\mathbf{Z}/p\mathbf{Z})^{\times}$ . Under  $\Delta_p$ , we have  $Y = Y^+ \oplus Y^-$ .

 $Y^+$  is a free T-module of rank 1.

 $\mathcal{L}_{\mathbf{T}} = \text{quotient field of } \Lambda_{\mathbf{T}}$  $Y^{-} \otimes_{\Lambda_{T}} \mathcal{L}_{T} \text{ is a free } \mathbf{T} \otimes_{\Lambda_{T}} \mathcal{L}_{\mathbf{T}} \text{-module of rank 1.}$ 

#### The modular representation:

The action of  $G_{\mathbf{Q}}$  on Y provides

$$\rho \colon G_{\mathbf{Q}} \to \operatorname{Aut}_{\mathbf{T}}(Y).$$

For  $\sigma \in G_{\mathbf{Q}}$ , we write

$$\rho(\sigma) = \begin{pmatrix} a(\sigma) & b(\sigma) \\ c(\sigma) & d(\sigma) \end{pmatrix},$$

 $a(\sigma) \in \operatorname{End}_{\mathbf{T}}(Y^{-}), \ b(\sigma) \in \operatorname{Hom}_{\mathbf{T}}(Y^{+}, Y^{-}), \ \dots$ We remark that  $\operatorname{End}_{\mathbf{T}}(Y^{\pm}) \hookrightarrow \mathbf{T} \otimes_{\Lambda_{\mathbf{T}}} \mathcal{L}_{\mathbf{T}}.$ For  $\sigma \in I_p$ , we have

$$\rho(\sigma) = \left(\begin{array}{cc} a(\sigma) & 0\\ c(\sigma) & 1 \end{array}\right).$$

For  $\sigma, \tau \in G_{\mathbf{Q}}$ , we have

$$d(\sigma) \equiv 1 \mod \mathcal{I},$$
$$b(\sigma)c(\tau) \equiv 0 \mod \mathcal{I}.$$

In fact, let *B* (resp., *C*) denote the T-module generated by all  $b(\sigma)$  (resp.,  $c(\sigma)$ ) with  $\sigma \in G_{\mathbf{Q}}$ . Then  $BC = \mathcal{I}$ .

## Classical Iwasawa Theory:

Let (p, k) be an irregular pair.

Consider the maps

 $\bar{a} \colon G_{\mathbf{Q}} \to (\mathbf{T}/\mathcal{I})^{\times}$  and  $\bar{b} \colon G_{\mathbf{Q}} \to B/\mathcal{I}B.$ 

We have a homomorphism on  $G_{\mathbf{Q}}$ :

$$\phi_B(\sigma) = \begin{pmatrix} \bar{a}(\sigma) & \bar{b}(\sigma) \\ 0 & 1 \end{pmatrix}$$

Let H be the fixed field of the kernel of  $\phi_B$ . H is an unramified abelian pro-p extension of Kwith  $Gal(H/K) \cong B/\mathcal{I}B$  having an  $\omega^{1-k}$ -action of  $\Delta$ .

By an argument on characteristic ideals, Ohta shows:

# **Theorem (Ohta).** Gal $(H/K) = X_K^{(1-k)}$ .

This yields another proof of Iwasawa's Main Conjecure.

#### Iwasawa theory for Kummer extensions:

Let (p,k) be an irregular pair with  $p \nmid B_{p+1-k}$ .

Now let  $\overline{c}\colon G_{\mathbf{Q}}\to C/\mathcal{I}C$  and define

$$\phi_C(\sigma) = \left(\begin{array}{cc} \overline{a}(\sigma) & 0\\ \overline{c}(\sigma) & 1 \end{array}\right).$$

The fixed field L of the kernel of  $\phi_C$  is an abelian pro-p extension of K which is unramified outside p and totally ramified at p.

Let  $G = \operatorname{Gal}(L/K)$ .

**Remark.** If  $X_K^{(1-k)}$  is procyclic (e.g., if p < 12,000,000), then  $G = G^{(k-1)} \cong \mathbb{Z}_p$  as a pro-p group.

Now define  $\overline{d}$ :  $G_{\mathbf{Q}} \to (\mathbf{T}/\mathcal{I}^2)^{\times}$  and consider the homomorphism

$$\phi_D(\sigma) = \begin{pmatrix} \bar{a}(\sigma) & \bar{b}(\sigma) \\ \bar{c}(\sigma) & \bar{d}(\sigma) \end{pmatrix}.$$

We also have a homomorphism

$$\psi(\sigma) = \begin{pmatrix} 1 & \overline{c}(\sigma) & \overline{d}(\sigma) - 1 \\ 0 & \overline{a}(\sigma) & \overline{b}(\sigma) \\ 0 & 0 & 1 \end{pmatrix}$$

defining the same extension as  $\phi_D$ . Fact:  $\overline{d}([\sigma, \tau]) - 1 = \overline{c}(\sigma)\overline{b}(\tau)$  for  $\sigma, \tau \in G_K$ . The map  $\overline{d} - 1$  yields a surjection

$$I_G/I_G^2 \otimes_{\mathbf{Z}_p} X_K^{(1-k)} \to \mathcal{I}/\mathcal{I}^2$$

This leads to the following.

**Theorem 3.** Assume that  $p \mid B_k$  and  $p \nmid B_{p+1-k}$ . Then there is a canonical isomorphism

$$(I_G X_L / I_G^2 X_L)_{\widetilde{\Gamma}} \cong \mathcal{I} / \mathcal{I}^2.$$

**Remark.** If  $X_K^{(1-k)}$  is procyclic, then  $(I_G X_L / I_G^2 X_L)_{\tilde{\Gamma}} = (I_G X_L / I_G^2 X_L)^{(0)}.$ 

 $\overline{d}(\varphi_p^{-1}) = U_p$  for a Frobenius  $\varphi_p \in D_p$  $\mathcal{U} = \mathbb{Z}_p$ -submodule of T generated by  $U_p - 1$ 

**Theorem 4.** Assume that  $p \mid B_k$  and  $p \nmid B_{p+1-k}$ . There is canonical a isomorphism

$$(I_G Y_L / I_G^2 Y_L)_{\tilde{\Gamma}} \cong \mathcal{I} / (\mathcal{U} + \mathcal{I}^2).$$

The following was verified computationally:

**Theorem 5.** For any irregular pair (p,k) with p < 1000, we have  $\mathcal{I} = \mathcal{U} + \mathcal{I}^2$ .

We conclude from this that  $(p, U_K)_K = X_K(1)$ for p < 1000.

**Remark:** Actually, our *L* is not the Kummer extension defined by *p*, but rather by an element which pairs nontrivially with it at the level of  $K_1$ .

# Some applications:

We obtain results on the structure of many different objects (for p < 1000).

1. complete determination of the pairing ( ,  $\,)_1$ 

2. determination of  $X_L$  for "most" L

3. relations in the Galois group of the maximal pro-p unramified outside p extension of  $\mathbf{Q}(\mu_p)$ 

4. Greenberg's pseudo-nullity conj. for  $Q(\mu_p)$ 

5. pro-p Galois Lie algebra of  $P_{\overline{Q}}^{1} - \{0, 1, \infty\}$ (e.g., Ihara's p = 691 conjecture)

6. products on p-parts of K-groups of  $\mathbf{Z}$  and other cyclotomic integer rings

7. Selmer groups of modular representations